

NOTICE OF INTENT

Department of Children and Family Services

Child Welfare

Guardianship Subsidy Program

(LAC 67:V.4101 and 4103)

In accordance with the provisions of the Administrative Procedure Act R.S. 49:953(A), the Department of Children and Family Services (DCFS) proposes to amend LAC 67:V, Subpart 5 Foster Care, Chapter 41 Guardianship Subsidy Program, Sections 4101 and 4103.

Pursuant to United States Children's Bureau requirements for authorization of Louisiana's Title IV-E State Plan, adjustments to the foster care and guardianship subsidy programs are necessary to update required terminology related to the programs, types of available payments, and eligibility criteria. The proposed rule will amend the option of Subsidized Guardianship and establish Successor Guardianship as a permanency option, therefore promoting the establishment of permanent families for children within relative foster care placements where adoption is not an alternative.

The department considers this amendment necessary in order to fulfill Title IV-E State Plan requirements and to avoid sanctions and penalties from the United States Children's Bureau.

This action was made effective by an Emergency Rule dated and effective June 10, 2015.

Title 67

Social Services

Part V. Child Welfare

Subpart 5. Foster Care

Chapter 41. Guardianship Subsidy Program

§4101. Subsidizing Guardianship Arrangements for Children in Foster Care

A. Overview of Program Purpose

1. The Subsidized Guardianship Program enables the Department of ~~Social~~ Children and Family Services (DCFS) (DCFS) to make payments to certified relative and fictive kin caregivers on behalf of a child who otherwise might not be able to achieve permanency outside of ~~agency~~ department custody because of special needs or other circumstances. Subsidy payments shall be limited to a child(ren) for whom guardianship is indicated due to other more permanent options such as reunification with the parents, immediate unsubsidized custody to a relative or other caregiver, or adoption being determined unfeasible for the child. The guardianship subsidy applies only to a child(ren) for whom the ~~DSS~~ DCFS holds legal custody, only to potential caregivers with whom the child had an established familial or emotional relationship prior to entering ~~DSS~~ DCFS custody, and when the kinship placement provider becomes a certified foster caregiver according to the certification standards of the State, and, the child(ren) remains in the certified kinship placement for at least six consecutive months immediately prior to entering the guardianship subsidy arrangement. ~~The granting of a subsidy shall not affect the legal status of the child nor the rights and responsibilities of the guardianship caregivers.~~ The guardianship subsidy also applies to successor guardian(s) who meet the following criteria:

a. The successor guardian is named in the guardianship subsidy agreement with DCFS;

b. The successor guardian and all adult household members have satisfactorily completed fingerprint

based criminal and child abuse/neglect background clearances;
and

c. Guardianship is transferred by a court to the successor guardian in accordance with Louisiana Children's Code Articles 718 through 724.1.

2. The prospective guardianship family must meet basic foster care certification eligibility requirements or the successor guardianship criteria in all respects except for the ability to assume complete financial responsibility for the child's care.

B. Types of Subsidy Payments. The child may be subsidized for the following services up to age 18.

1. Maintenance. The maintenance subsidy includes basic living expenses such as board, room, clothing, spending money, and ordinary medical costs. The maintenance subsidy may be ongoing until the child reaches age 18, but must be renewed on a yearly basis. This renewal will be dependent upon the child remaining in the care of the guardian with whom the subsidy agreement was established. The amount of payment shall not exceed 80 percent of the state's regular foster care board rate based on the monthly flat rate payments of the regular foster care board rate for the corresponding age group. Monthly maintenance payments shall not be based on subsidized foster care arrangements such as specialized foster care, alternate family care, or therapeutic foster care. Changes in the maintenance subsidy rate ~~may~~ routinely only occur once a year and the adjustment is typically made at the time of the subsidy renewal, or due to a change in the child's age. Adjustments to the maintenance subsidy rate may also occur due to availability of funds, legislative changes or adjustments to the regular foster care board rate. ~~Any such adjustments to the maintenance~~

~~subsidy rate may occur due to availability of funds, legislative changes or adjustments to the regular foster care board rate.~~

2. Special Board Rate. Foster parents entering into a guardianship agreement for a foster child for whom a special board rate was received during the foster care episode may request up to a maximum of \$240 which is 80 percent of the special board rate amount of \$300. This is only provided if the care and needs of the child in the guardianship arrangement warrant this same special board rate. The continued need for the special board rate shall be reviewed at the time of the annual review. This review shall consist of a determination of whether the same level of specialized care by the guardian, for which the special board rate was being provided at the time of the subsidy agreement, continues to be necessary to meet the child's needs. Any reduction in the level of care required by the guardian should result in a decrease in the amount of special board rate compensation to the guardian.

3. Special Services

a. The special services subsidy is time limited and in some cases may be a one-time payment. It is the special assistance given to handle an anticipated expense when no other family or community resource is available. If needed, it can be offered in addition to the maintenance and special board rate subsidy. The special services subsidy must be established as a part of the initial guardianship subsidy agreement, and may not be provided or renegotiated based on any circumstances which develop or issues identified after that point. Special services subsidies include the following types of needs:

i. special medical costs deemed medically necessary for the daily functioning of the child for any condition existing prior to the date of the initial judgment

establishing guardianship with the kinship caregiver and not covered by Medicaid or other insurance;

ii. ongoing therapeutic treatment costs to complete current therapy and future treatment costs on a time limited basis up to 18 years of age, as ~~agency~~ department resources allow, related to the abuse/neglect received by the child and impacting the child's capacity to function effectively as part of the child's educational, family or social environment. This does not include the cost of residential care or psychiatric hospitalization, nor does it include therapeutic intervention for the sole purpose of providing behavior management assistance to the guardian;

iii. legal and court costs to the potential guardian family up to \$1000 for children who are not Title IV-E eligible and up to \$2000 for children who are Title IV-E eligible for establishing the guardianship arrangement. This service is only available for costs distinct and separate from the routine costs of the child in need of care proceedings to provide for costs to the potential guardian in establishing the guardianship arrangement. This legal and/or court fee will be provided as a non-reoccurring, one-time payment for each guardianship episode.

b. Medicaid Eligibility. The child remains eligible for Medicaid coverage up to 18 years of age when entering a Guardianship subsidy arrangement from foster care. This coverage will be eligible utilizing Title IV-E federal benefits if the child was Title IV-E eligible at the time of the subsidy arrangement. For children not eligible for Title IV-E, this coverage will be provided through Title XIX federal benefits or state general funds. For a Louisiana child who is placed out of state in a potential guardianship placement or who moves to another state after the establishment of a guardianship

subsidy, if the child is eligible for Title IV-E guardianship subsidy payments, the child is also categorically eligible for Medicaid in the state in which the child resides whether that state participates in the Title IV-E Guardianship Subsidy Assistance Program or not.

c. Chaffee Foster Care Independent Living Skills Training and Education Training Voucher Eligibility. The child is eligible for consideration for participation in the Chaffee Foster Care Independent Living Skills Training and for Education Training Vouchers if the child enters a guardianship arrangement from foster care after reaching 16 years of age, as long as the child meets any other program eligibility requirements.

C. Exploration of Guardianship Resources

1. Before a child is determined by the ~~Office of Community Services (OCS)~~ Department of Children and Family Services (DCFS) as eligible for a guardianship subsidy, it must be determined the child can not be reunited with the parents, and resources for adoptive placement must be explored by the child's worker. ~~it must be determined there are no relative resources available to accept custody of the child without subsidy payment, and, resources for adoptive placement must be explored by the child's worker.~~ If the kinship family with whom the child is placed refuses to adopt the child or is unable to be certified as an adoptive family, the ~~agency~~ department has to show efforts to achieve the more permanent case goal of adoption for the child and demonstrate the benefits of maintaining the child in the placement in a guardianship arrangement as opposed to ongoing efforts in pursuing adoption or any other long term permanency arrangement. It is also necessary for the child's worker to discuss plans for a guardianship arrangement with the child and document the outcome of that discussion with the

child, including agreement with that plan by any child 14 years of age up to 18 years of age. Lack of agreement by any child 14 years of age up to 18 years of age should be an ongoing topic of counseling regarding the benefits of the arrangement between the worker and the child, until a permanency option is achieved for the child or until the child attains 18 years of age.

2. Whenever an eligible child in the custody of ~~DSS~~ DCFS is legally placed based on the Interstate Compact on the Placement of Children guidelines with a certified kinship caregiver in another state, the family shall be eligible for a guardianship subsidy under the same conditions as Louisiana residents.

D. Eligibility Criteria

1. The ~~OCS~~ DCFS, Guardianship Subsidy Program, will determine the appropriateness of subsidy benefits, the type of subsidy, and, the level of the subsidy. An agreement form between the ~~OCS~~ DCFS and the prospective guardianship parent(s), with clearly delineated terms, including designation of a successor guardian, if desired, must be signed prior to the granting of the final decree for guardianship. This agreement will be reviewed on an annual basis thereafter by the ~~OCS~~ DCFS to insure ongoing eligibility.

2. Subsidy payments shall be limited to a child(ren) for whom guardianship is indicated due to other more permanent options such as reunification with the parents, or adoption being determined unfeasible for the child. The exception would be any child who has been receiving a subsidy payment and enters a successor guardianship. A more permanent option for placement is not required as these children do not re-enter state custody.

3. The guardianship subsidy applies only to a child(ren) for whom the DCFS holds legal custody, only to potential caregivers with whom the child had an established

familial or emotional relationship prior to entering DCFS custody, and when the kinship placement provider becomes a certified foster caregiver according to the certification standards of the State, and, the child(ren) remains in the certified kinship placement for at least six consecutive months immediately prior to entering the guardianship subsidy arrangement. The exception would be children entering a successor guardianship. There is no requirement for the child to be in DCFS custody, to be with a caregiver with an established relationship, for certification of the caregiver, nor for a child to be placed with the successor guardian for any length of time prior to entering the guardianship subsidy arrangement.

24. A family is considered eligible for participation in the Guardianship Subsidy Program if they are related to the child or family of the child through blood or marriage or if there exists a fictive kin relationship, which is defined as a relationship with those individuals connected to an individual child or the family of that child through bonds of affection, concern, obligation, and/or responsibility prior to the child's original entry into the custody of the state, and the individual(s) are considered by the child or family to hold the same level of relationship with the child or family as those individuals related by blood or marriage. The exception would be an individual considered for the successor guardianship named by the guardian in the guardianship subsidy agreement with DCFS.

E. Effects of Deaths of Guardians on Guardianship Subsidy

1. When a child has been placed in an approved guardianship placement with a guardianship subsidy agreement in effect and the guardian dies prior to the child reaching the age of majority, the child's eligibility for a guardianship subsidy shall not be affected if a successor guardian was named in the

guardianship subsidy agreement. The child may remain in the care of a duly designated tutor/guardian as established by the guardian family prior to their death, without further involvement of the department. If the "duly designated" tutor/guardian requires financial assistance to maintain the care of the child and the individual was named in the guardianship subsidy agreement as a successor guardian, it is not necessary for the child to return to state custody and those individuals to become certified foster parents.

2. If no successor guardian was named in the guardianship subsidy agreement, any individual otherwise legally designated as a tutor/guardian for the child and requiring financial assistance to sustain the care of the child would have to return the child to state custody and those individuals would have to become certified foster parents. Adoption of the child by the family should be explored as well, since adoption is a more permanent relationship for the child and family. If the family and home are determined to be safe for the care of the child through assessment of the home environment, fingerprint based criminal records clearance, and child abuse/neglect clearances, the child may remain in the care of the family while they are certified.

1 3. Where a guardianship subsidy agreement is in effect and the guardians both die prior to the child reaching the age of majority, the subsidy agreement will end. The child may remain in the care of a duly designated tutor/guardian as established by the family prior to their death, without further involvement of the ~~agency~~ department.

2 4a. If the ~~duly~~ designated tutor/guardian requires financial assistance to maintain the care of the child, it will be necessary for the child to return to state custody and those individuals to become certified as foster parents and

~~retain~~ provide care ~~of~~ to the child six consecutive months after certification and immediately prior to entering into a Guardianship Subsidy Agreement with the ~~agency~~ department.

During the process of becoming certified as foster parents the family may ~~retain care responsibility of~~ continue to provide care to the child, as long as they are determined to be safe caregivers through a minimum of:

i. department assessment of the home environment;

ii. fingerprint based criminal records clearances on all adults in the home; and

iii. child abuse/neglect clearances on all adults in the home ~~without the child returning to the custody of the state.~~

b. Adoption of the child by the family will be explored by the department as well. ~~However, t~~There can be no financial support of the child by the state while being cared for by the family until such family has been certified and the Guardianship Subsidy Agreement established, other than incidental expenditures routinely reimbursed to other non-certified caregivers of children in foster care. Each guardianship arrangement is considered a new episode. Therefore ~~Also,~~ the agency department may provide legal and court costs to support the establishment of the this new legal guardianship arrangement between the designated tutor potential guardian and the child up to \$1000 for children who are not Title IV-E eligible and up to \$2000 for children who are Title IV-E eligible any amount added to the amount utilized for the previously established Guardianship arrangement that does not exceed the \$2000 limit.

~~3. If the designated tutor/guardian is unable to satisfy criteria for certification, the tutor/guardian may~~

~~retain care of the child based on the prior arrangements by the deceased guardians without further intervention or financial support of the agency or the child may be returned to the custody and care of the state by order of the court.~~

AUTHORITY NOTE: Promulgated in accordance with P.L. 110-351 and P.L. 113-183.

HISTORICAL NOTE: Promulgated by the Department of Social Services, Office of Community Services, LR 36:552 (March 2010), amended by the Department of Children and Family Services, Child Welfare, LR 41:

§4103. Nonrecurring Expenses in Guardianship Arrangements

A. The ~~DCS~~ Department of Children and Family Services (DCFS) sets forth criteria for reimbursement of nonrecurring expenses associated with establishing ~~G~~guardianship arrangements for children in foster care.

1. The amount of the payment made for nonrecurring expenses associated with establishing guardianship arrangements for children in foster care shall be determined through agreement between the guardian(s) and the ~~DCS~~ DCFS. The agreement must indicate the nature and amount of the nonrecurring expenses to be paid.

2. The agreement for nonrecurring expenses must be signed prior to the final decree granting guardianship.

3. There must be no income eligibility requirement for guardian(s) in determining whether payments for nonrecurring expenses associated with establishing ~~G~~guardianship arrangements for children in foster care shall be made. However, potential guardians cannot be reimbursed for out-of-pocket expenses for which they have otherwise been reimbursed.

4. The maximum rate of reimbursement for nonrecurring expenses has been set at \$1000 for children who are

not Title IV-E eligible and up to \$2000 for children who are Title IV-E eligible \$2000 per guardianship arrangement.

5. In cases where siblings are placed and guardianship arrangements established, whether separately or as a unit, each child is treated as an individual with separate reimbursement for nonrecurring expenses up to the maximum amount allowable for each child.

6. In cases where a child has been returned to the custody of the state and a guardianship arrangement dissolved, the child is allowed separate and complete reimbursement for nonrecurring expenses up to the maximum amount allowable for establishing another guardianship arrangement.

7. Reimbursement is limited to costs incurred by or on behalf of guardian(s) not otherwise reimbursed from other sources. Payments for nonrecurring expenses shall be made directly by the ~~OCS~~ DCFS.

8. When the guardianship arrangement for the child involves interstate placement, Louisiana will only be responsible for paying the nonrecurring expenses for the arrangement for the child when Louisiana is the child's legal custodian and enters into the ~~G~~uardianship ~~S~~ubsidy ~~A~~greement with the caregiver.

9. The term nonrecurring expenses in relation to guardianship arrangements means reasonable and necessary legal fees, court costs, attorney fees and other expenses which are directly related to the legal establishment of the guardianship arrangement for a child in foster care, which are not incurred in violation of state or federal law, and which have not been reimbursed from other sources or other funds. Other expenses which are directly related to the legal establishment of the guardianship arrangement for a child in foster care means the costs of the arrangement incurred by or on behalf of the

guardians and for which guardians carry the ultimate liability for payment. Such costs may include but are not limited to travel costs for the child and/or guardians to be present for the legal proceedings to establish the guardianship arrangement.

AUTHORITY NOTE: Promulgated in accordance with P.L. 110-351 and P.L. 113-183.

HISTORICAL NOTE: Promulgated by the Department of Social Services, Office of Community Services, LR 36:554 (March 2010), amended by the Department of Children and Family Services, Child Welfare, LR 41:

Family Impact Statement

1. What effect will this Rule have on the stability of the family? The Guardianship Subsidy Program provides necessary financial resources to relative or fictive kin caregivers to support and stabilize the placement of children to whom they are connected and willing to provide care and supervision. Many times families are unable to accept custody of a child to whom they are connected solely due to the additional financial burden on the family. This will also provide children greater permanency than remaining in foster care as the department and court will no longer be involved in the custody and supervision of the child and family, relinquishing full parental control to the relative or fictive kin caregiver through adulthood. The provisions also allow for the designation of a successor guardian to prevent children from reentering foster care when a designated guardian becomes deceased or incapacitated.

2. What effect will this have on the authority and rights of persons regarding the education and supervision of their children? The family providing care to the child will have an established legal right to all educational and supervisory authority and rights to the child's care. Establishing a successor guardian for a child will ensure someone continues to

have authority and rights regarding education and supervision of the child should a designated guardian becomes deceased or incapacitated. The biological parents may petition the court through civil proceedings to return the children to their care and supervision at any point they wish as they will retain parental rights to the child.

3. What effect will this have on the functioning of the family? The family providing care to the child will have the same parental controls, responsibilities, and rights for the child as long as the guardianship arrangement remains in effect, as they would have for their own children, without interference from the department or court.

4. What effect will this have on family earnings and family budget? The financial support of the guardianship subsidy will enable the family to provide necessary care and supervision to the child without financial burden on the family budget.

5. What effect will this have on the behavior and personal responsibility of children? Establishment of the permanent guardianship relationship will allow children to be permanent members of the caregiver family, thus stabilizing the role and position of the child in the family. This should support more positive behavioral interaction and personal responsibility by the child.

6. Is the family or local government able to perform the function as contained in this proposed Rule? The family will already have been the child's caregiver for at least six months prior to establishing the guardianship arrangement in original guardianship. Thus establishing the legal arrangement will only serve to support an already existing relationship by removing the department and the court from the family dynamic. When a successor guardianship is established the original guardian will

be deceased or incapacitated and thus the successor guardianship arrangement will allow the child's new caregiver to receive the financial support and legal relationship to function as the child's caregiver.

Poverty Impact Statement

The proposed rule is not anticipated to have an impact on poverty as described in R.S. 49:973.

Small Business Impact Statement

The proposed rule is not anticipated to have an adverse impact on small businesses as defined in the Regulatory Flexibility Act.

Provider Impact Statement

The proposed rule is not anticipated to have an impact on providers of services funded by the state as described in HCR 170 of the 2014 Regular Legislative Session.

Public Comments

All interested persons may submit written comments through, September 25, 2015, to Kim Glapion-Bertrand, Deputy Secretary of Programs, Department of Children and Family Services, P.O. Box 3776, Baton Rouge, LA 70821.

Public Hearing

A public hearing on the proposed Rule will be held on September 25, 2015 at the Department of Children and Family Services, Iberville Building, 627 North Fourth Street, Seminar Room 1-127, Baton Rouge, LA beginning at 9 a.m. All interested persons will be afforded an opportunity to submit data, views, or arguments, orally or in writing, at said hearing. Individuals with disabilities who require special services should contact the Bureau of Appeals at least seven working days in advance of the hearing. For assistance, call 225-342-4120 (Voice and TDD).

Suzy Sonnier
Secretary

**FISCAL AND ECONOMIC IMPACT STATEMENT
FOR ADMINISTRATIVE RULES**

Person Preparing Statement:	<u>Toni Buxton</u>	Department:	<u>Children and Family Services</u>
Phone:	<u>(225) 342-4006</u>	Office:	<u>Child Welfare</u>
Return Address:	<u>P.O. Box 3318 Baton Rouge, LA 70821</u>	Rule Title:	<u>Guardianship Subsidy Program</u>
		Date Rule Takes Effect:	<u>December 1, 2015</u>

SUMMARY

In accordance with Section 953 of Title 49 of the Louisiana Revised Statutes, there is hereby submitted a fiscal and economic impact statement on the rule proposed for adoption, repeal or amendment. THE FOLLOWING STATEMENTS SUMMARIZE ATTACHED WORKSHEETS, I THROUGH IV AND WILL BE PUBLISHED IN THE LOUISIANA REGISTER WITH THE PROPOSED AGENCY RULE.

I. Estimated Implementation Costs (Savings) to State or Local Governmental Units (Summary)

This rule proposes to amend LAC 67:V, Subpart 5 Foster Care, Chapter 41 Guardianship Subsidy Program, Sections 4101 and 4103. The proposed rule will amend the option of Subsidized Guardianship and establish Successor Guardianship as a permanency option, therefore promoting the establishment of permanent families for children within relative foster care placements where adoption is not an alternative.

The proposed rule will allow for children after six months of foster care placement with the relative to be placed legally in a guardianship relationship with that relative, which will be subsidized by the State to support the ongoing care of the child. The provision of this type of financial assistance to certified relative caregivers or successor guardians that are unable to adopt will allow for a greater number of children to successfully exit foster care while providing the relative or successor guardian the support necessary to sustain the placement.

The department estimates that 254 existing children would be eligible annually for successor guardianship. Of the 254 children eligible, the department estimates that 86 children will avail themselves of this opportunity. There is no net cost or savings anticipated as a result of this rule change because the same level of funds that would be typically expended on the care of these eligible children in Foster Care will now be reallocated and expended through the Guardianship Subsidy Program. The funding for Foster Care services is presently in the department's budget. Federal participation will not change.

The only cost associated with this proposed rule is the cost of publishing rulemaking, which is estimated to be approximately \$5,751(\$2,875.50 State General Fund and \$2,875.50 Federal) in FY 15-16. This is a one-time cost that is routinely included in the department's budget.

II. Estimated Effect on Revenue Collections of State or Local Governmental Units (Summary)

This rule will have no effect on revenue collections of state or local governmental units.

III. Estimated Costs and/or Economic Benefits to Directly Affected Persons or Nongovernmental Groups (Summary)

This rule will have no impact as the funds are currently appropriated in the DCFS budget.

IV. Estimated Impact on Competition and Employment (Summary)

The proposed rule should have no impact on competition and employment.

Signature of Agency Head
or Designee

Date

LEGISLATIVE FISCAL OFFICER
OR DESIGNEE

Kim Glapion-Bertrand, Deputy Secretary
Typed Name and Title of Agency Head

DATE OF SIGNATURE